



Denali Commission  
510 L Street, Suite 410  
Anchorage, Alaska 99501

907.271.1414 tel  
907.271.1415 fax  
888.480.4321 toll free

[www.denali.gov](http://www.denali.gov)

## LETTER OF TRANSMITTAL

To: Mr. Paul Sherry Alaska Native Tribal Health Consortium 1901 South Bragaw Street, Suite 200 Anchorage, Alaska 99508	Date: May 2008
	Re: Financial Assistance Award # 397-08

We are forwarding ☒ attached ☐ under separate cover, via Priority Mail, the following items:

Copies	Date	Description
1	5/2008	Financial Assistance Award
1	5/2008	Award Conditions
1	5/2008	Construction and Non-Construction Assurances

These are transmitted:

☒ For Your Information

*This copy of the signed Award is for your records.*

Remarks:

Sincerely,

A handwritten signature in black ink, appearing to read "George J. Cannelos".

George J. Cannelos  
Federal Co-Chair



## Financial Assistance Award

**DENALI COMMISSION**  
510 L Street, Suite 410  
Anchorage, Alaska 99501  
(907) 271-1414 (phone)  
(907) 271-1415 (fax)  
www.denali.gov

Authority  
112 Stat 1854

CFDA Number  
90.100

Denali Commission Finance  
Officer Certification

*JPD*

Award Number

397-08

Award Title

Health Facilities Planning, Design and  
Construction

Performance Period

June 1, 2008 – June 30, 2011

Recipient Organization & Address

Alaska Native Tribal Health Consortium  
1901 South Bragaw Street, Suite 200  
Anchorage, Alaska 99508  
Phone: (907) 729-3601  
Fax: (907) 729-4090

Recipient DUNS # 07-137-5658 TIN # 92-0162721

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
FY08 HRSA	\$10,000,000		\$10,000,000
		\$0	\$0
Total	\$10,000,000	\$0	\$10,000,000

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.

- ☒ Special Award Conditions and Attachments – FY08 Memorandum of Understanding (MOU)  
☐ Line Item Budget  
☒ OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations  
(<http://www.whitehouse.gov/omb/circulars/a133/a133.html>)

Administrative Requirements (check one)

- ☐ OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments  
(<http://www.whitehouse.gov/omb/circulars/a102/a102.html>)  
☒ 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (OMB Circular A-110)  
([http://www.access.gpo.gov/nara/cfr/waisidx\\_05/2cfr215\\_05.html](http://www.access.gpo.gov/nara/cfr/waisidx_05/2cfr215_05.html))

Cost Principles (check one)

- ☐ OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments  
([www.whitehouse.gov/omb/circulars/a087/a087-all.html](http://www.whitehouse.gov/omb/circulars/a087/a087-all.html))  
☒ OMB Circular A-122, Cost Principles for Nonprofit Organizations  
([www.whitehouse.gov/omb/circulars/a122/a122.html](http://www.whitehouse.gov/omb/circulars/a122/a122.html))  
☐ OMB Circular A-21, Cost Principles for Educational Institutions  
(<http://www.whitehouse.gov/omb/circulars/a021/a021.html>)  
☐ 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission

*George J. Cannelos*

Typed Name and Title

George J. Cannelos, Federal Co-Chair

Date

5/13/08

***Award Conditions to the Financial Assistance Award  
Between the Denali Commission and Alaska Native Tribal Health Consortium  
(ANTHC)  
For Health Facilities Planning, Design and Construction  
Award No. 397-08  
May 2008***

***1. Scope of Work***

The Denali Commission (Commission) is providing a total of \$10,000,000 to the Alaska Native Tribal Health (ANTHC) for health facility planning, design and construction. Commission funds and match funds will be managed by ANTHC. Projects are not authorized to proceed until the Project Authorization is approved by the Commission.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the full scope of work has been completed will be returned to the Denali Commission.

***2. Milestones***

The following milestones are identified as the major steps to be completed as part of the project. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestones will be added through the project authorization process.

***3. Award Performance Period***

The Award performance period is June 1, 2008 through June 30, 2011. This is the period during which Award recipients can incur obligations or costs against this Award.

***4. Direct and Indirect Costs***

The cost principles of OMB A-122 are applicable to this Award. Indirect costs are allowable under this award per 2008 Memorandum of Understanding (MOU) between the Denali Commission and ANTHC. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

***5. Budget and Program Revisions***

The Administrative Circular, 2 CFR Part 215, applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that ANTHC will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

## **6. Payments**

Payments under this Award will be made by electronic transfer in response to a "Request for Advance or Reimbursement", Standard Form 270 (SF-270) submitted by the ANTHC. Requests for reimbursements may be made as needed or at the end of each quarter but should be submitted no later than 30 days after the federal quarter. The SF-270 must be submitted to the Denali Commission via fax, e-mail ([finance@denali.gov](mailto:finance@denali.gov)) or mail in order for payment to be processed. The form is available on the OMB website: [http://www.whitehouse.gov/OMB/grants/grants\\_forms.html](http://www.whitehouse.gov/OMB/grants/grants_forms.html). Payments shall be made in accordance with 2 CFR Part 215. Please contact the Denali Commission's Finance Specialist at (907) 271-1414 for further information about submitting this form. **No interest will be accrued on these funds.**

## **7. Reporting**

Two forms of project reporting are required under this Award, listed below. ANTHC shall submit reports using the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is June 1, 2008 to June 30, 2008 and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
  - i. Total project funding, including both Denali Commission funding and other project funding sources.
  - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
  - iii. Updated schedule and milestone information as identified in the Scope of Work
  - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
  - v. **Construction Projects** Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from "before," showing the situation before the start of construction, to "during" showing work proceeding on the project, and "after" to show the finished project. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
  - vi. **Non-Construction Projects** For minor repair and renovation projects or other non-construction projects, pictures should be provided of before and after, or

photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.

- b. Requirements for the design and construction projects on this award have been documented through the approval of a business plan and supporting documentation on file at the Denali Commission. This is considered the Commission's document of record for the approved scope of work for the project as well as the intended utilization of the facility.

Each project's performance shall be monitored and measured on a quarterly basis using the documentation of record (business plan) as a reference point, making updates as necessary. The following items shall be monitored and reported in the narrative of the quarterly report:

1. Projected energy costs or any changes to original operations plan,
2. Status of supporting infrastructure in coordination with construction project,
3. Status of proposed staffing training, recruitment and retention strategy,
4. Adherence to local and regional plans for improving health care, and
5. Other issues as identified by the program manager.

An independent technical assistance advisor shall be assigned to each project to assist in monitoring and reporting the information on a quarterly basis. The ANTHC is responsible for reporting all information to the Commission on behalf of sub-recipients. The Commission may wish to request additional information as it deems necessary.

- c. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] "Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs."

Recipients shall also submit single audits to the Federal Clearinghouse as designated by OMB. Information can be found on the following web-site:  
<http://harvester.census.gov/sac/>

## **8. Project/Award Close-Out**

The project close-out report shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The project close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) and (b) "Progress Reports".
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall display a sign that acknowledges the Government's support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.
- d. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The format for acknowledgement of the Government's support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Denali Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

#### ***9. Public Policy Laws and Assurances***

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) and/or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

#### ***10. Non-Compliance with Award Conditions***

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and

will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

***11. Program Manager, Financial Manager & Other Contact Information***

<b>Denali Commission</b>	<b>Alaska Native Tribal Health Consortium</b>
Denali Daniels Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1189 Fax: 907-271-1415 E-mail: <a href="mailto:ddaniels@denali.gov">ddaniels@denali.gov</a>	Paul Morrison Project Manager 1901 Bragaw, Suite 200 Anchorage, AK 99508 Phone: 907-729-3520 Fax: 907-729-4809 Email: <a href="mailto:pmorriso@anthc.org">pmorriso@anthc.org</a>
Betty Sorensen Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: <a href="mailto:bsorensen@denali.gov">bsorensen@denali.gov</a>	Diane Chris Financial Contact 1901 Bragaw, Suite 200 Anchorage, AK 99508 Phone: 907-729-3580 Fax: 907- 729-4809 Email: <a href="mailto:diane.chris@anthc.org">diane.chris@anthc.org</a>

## ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

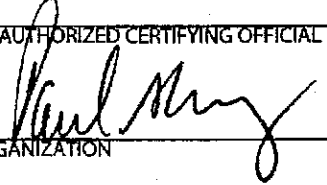
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.  
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §54801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §57401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Chief Executive Officer (CEO)
APPLICANT ORGANIZATION Alaska Native Tribal Health Consortium		DATE SUBMITTED 05/07/2008
Award 397-08		

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

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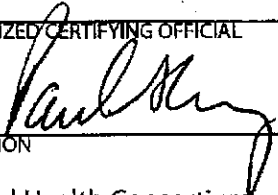
**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Chief Executive Officer (CEO)
APPLICANT ORGANIZATION Alaska Native Tribal Health Consortium Award 397-08		DATE SUBMITTED 05/07/2008



**ALASKA NATIVE TRIBAL HEALTH CONSORTIUM**

Division of Environmental Health & Engineering

1901 Bragaw Street, Suite 200

Anchorage, Alaska 99508-3440

Telephone: 907-729-3600

Facsimile: 907-729-4090

**TELEFAX COVER SHEET**

Date: May 12, 2008

Page 1 of (Including this cover sheet) (11)

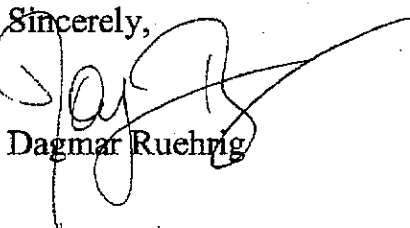
FROM: <b>DAGMAR RUEHRIG</b> Grants Specialist ANTHC, DEHE, MFS	TO: <b>Denali Daniels</b>
EMAIL: <b>druehrig@anthc.org</b>	COMPANY NAME: <b>Denali Commission</b>
PHONE NUMBER: <b>(907) 729-3798</b>	PHONE NUMBER:
FAX NUMBER: <b>(907) 729-3571</b>	FAX NUMBER: <b>907-271-1415</b>

**MESSAGE**

Dear Ms. Daniels,

Per instructions from Paul Morrison, please find attached the signed 2008 MOU, SF424 and Assurances.

Sincerely,

  
Dagmar Ruehrig

**APPLICATION FOR  
FEDERAL ASSISTANCE****1. TYPE OF SUBMISSION:**

Application

☒ Construction☒ Non-Construction

Pre-application

☐ Construction☐ Non-Construction**2. DATE SUBMITTED**

05/08/2008

Applicant Identifier

**3. DATE RECEIVED BY STATE**

State Application Identifier

**4. DATE RECEIVED BY FEDERAL AGENCY**

Federal Identifier

**5. APPLICANT INFORMATION**

Legal Name:

Alaska Native Tribal Health Consortium

Organizational Unit:

Department:

Organizational DUNS:

07-137-5658

Division:

Environmental Health and Engineering

Address:

Street:

1901 South Bragaw Street, Suite 200

Name and telephone number of the person to be contacted on matters involving this application (give area code)

City:

Anchorage

Prefix:

First Name:

Paul

County:

Middle Name:

State:

Alaska

ZIP:

99508

Last Name:

Morrison

Country:

Suffix:

**6. EMPLOYER IDENTIFICATION NUMBER (EIN):**

92-0162721

Phone Number (give area code):

(907) 729-3520

FAX Number (give area code):

(907) 729-4048

**8. TYPE OF APPLICATION:**☒ New☐ Continuation☐ RevisionIf Revision, enter appropriate letter(s) in box(es):  
(See back of form for description of letters)

Other (Specify):

**7. TYPE OF APPLICANT (See back of form for Application Types):**☐ Not for Profit Organization

Other (Specify):

**9. NAME OF FEDERAL AGENCY:**

Denali Commission

**10. CATALOG OF FEDERAL DOMESTIC**

ASSISTANCE NUMBER:

90-100

TITLE (Name of Program):

**11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:**

FY08 Health Facilities Planning, Design and Construction

**12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):**

Alaska

**13. PROPOSED PROJECT:**

Start Date:

05/08/2008

Ending Date:

06/30/2011

**14. CONGRESSIONAL DISTRICTS OF:**

a. Applicant

1 (AK)

b. Project

1 (AK)

**15. ESTIMATED FUNDING:**

a. Federal

\$10,000,000.00

b. Applicant

c. State

d. Local

e. Other

f. Program Income

g. TOTAL

\$10,000,000.00

**16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?**a. ☐ YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON

DATE:

b. ☒ NO. PROGRAM IS NOT COVERED BY E. O. 12372

OR PROGRAM HAS NOT BEEN SELECTED STATE FOR REVIEW

**17. IS APPLICATION DELINQUENT ON ANY FEDERAL DEBT?**☐ YES. If "Yes," attach an explanation.☒ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

**a. Authorized Representative**

Prefix

Mr.

First Name

Paul

Middle Name

Last Name

Sherry

Suffix

b. Title

Chief Executive Officer

c. Telephone Number (give area code)

(907) 729-3601

d. Signature of Authorized Representative

e. Date Signed

05/07/2008

**MEMORANDUM OF UNDERSTANDING  
FOR THE HEALTH PROGRAM ACTIVITIES  
BETWEEN  
THE DENALI COMMISSION  
AND  
THE ALASKA NATIVE TRIBAL HEALTH CONSORTIUM**

**April 2008**

**I. INTRODUCTION:**

This Memorandum of Understanding is made between the Denali Commission, hereinafter referred to as the Commission, acting through the Federal Co-Chair, pursuant to the provisions of the Denali Commission Act of 1998, as amended, and the Alaska Native Tribal Health Consortium, hereinafter referred to as the Consortium, acting through the Chief Executive Officer, under and pursuant to the provisions of Public Law 93-638, as amended.

**II. PURPOSE AND PREVIOUS MEMORANDUMS OF UNDERSTANDING:**

This Memorandum of Understanding (MOU) defines the roles and responsibilities of each party with regard to the program management of sustainable health infrastructure within Alaska. This MOU shall be used for all health program Financial Assistance Awards (FAAs) granted in fiscal year 2008. Program and project management fees for 2008 will be negotiated in accordance with the terms of this MOU. For purposes of funding reallocations for FAAs prior to fiscal year 2008, any reallocation of 2006 or 2007 program management fees will be accomplished by modifying the terms of the 2007 MOU. Prior to 2006, the program management fees have already been paid.

**III. AGREEMENT:**

**A. PROGRAM AGREEMENT DOCUMENTATION:**

As necessary, the Commission and the Consortium, together, may execute a FAA. The FAA may be subsequently amended to: 1) change the amount of funds provided under the original FAA, or alter the original scope. Addenda may be issued to reallocate funds provided under the FAA (as amended). The FAA, or its amendments and addenda, will provide the details concerning the use of provided federal funds; specifically describing the scope, funding, timeline, and reporting requirements for funded projects. When unallocated funds are provided under an award, the Commission's Program Manager, will direct the subsequent allocation via an addendum (signed by the Program Manager) describing the funds to be allocated and the new balance of unallocated funds.

**2008 Memorandum of Understanding Health Facility Infrastructure**

**B. CONSORTIUM PROGRAM MANAGEMENT ROLES AND RESPONSIBILITIES:**

1. The Consortium will participate on Commission committees and workgroups to assist in furthering health, sanitation and other related infrastructure programs.
2. The Consortium will review project scopes of work and conditions set forth in FAAs and immediately notify the Commission if a project cannot be accomplished, based upon funding, site conditions, the applicant's capacity to comply with requirements set forth in the FAA, or other factors.
3. The Consortium will assist with Conceptual Planning as more fully described in paragraph III E 1.
4. As directed by the Commission on a project by project basis, the Consortium will review site plan checklists associated with the health facilities program. A project award will be issued if the Consortium is required to travel to the site or perform geotechnical analysis.
5. The Consortium will prepare financial reports, progress reports and closeout reports for the FAAs as more fully described in paragraph III H.
6. The Consortium will monitor financial expenditures and project progress and provide the reports as more fully described in paragraph III H and the FAA.
7. The Consortium will review and approve draw requests, maintain records of draw requests and distribute project funds.
8. The Consortium will distribute funds as directed by the Commission.
9. Upon project completion, the Consortium will monitor the warranty period (described further in this agreement). Upon completion of the warranty period, the Consortium will close the project file and maintain the award record for 3-years.
10. The Consortium will review written Environmental Reviews (ERs) developed by program applicants or subrecipients on clinic and washeteria projects passed through the Consortium and not exceeding 12,000 SF in size. The Consortium will perform ERs on projects managed by the Consortium. The Consortium utilizes the Indian Health Service (IHS) Environmental Review Manual, and IHS policy published in the Federal Register, Vol. 58, No. 3, January 6, 1993, pp. 569-572. This includes, but is not limited to: documentation of compliance with environmental/archeological laws and regulations; and the identification of various state/federal permit requirements. The Consortium will review ERs to assure they comply with the same standards it uses to perform ERs. The Consortium will perform ERs and prepare documentation consistent with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) review procedures, as established for IHS environmental, design, and construction projects, and forward this documentation to the Commission for determination.

## **2008 Memorandum of Understanding Health Facility Infrastructure**

11. The Consortium may provide consultation services to the Commission and its program applicants concerning the ER process for NEPA Determinations of Categorical Exclusion (CE or CATEX), Finding of No Significant Impact (FONSI) and Record of Decision (ROD).
12. The Consortium will perform post award monitoring consisting of, a) directing the master record of project and financial data be maintained at the project management organization for 3-years, and b) provide external audit documents to the Commission pursuant to applicable Circulars.
13. The Consortium will stop project funding or progress to subrecipients that do not comply with the requirements or scope of work outlined in the CPA or the appropriate circulars outlined in the FAA.

### **C. CONSORTIUM PROJECT MANAGEMENT ROLES AND RESPONSIBILITIES:**

1. It is anticipated that the Consortium will only be performing project management duties on projects that it directly manages. The Consortium is considered to be directly managing a project when it is responsible for the outcome of the project and it uses its own employees to carry out the work or contracts with and oversees a third party to carry out the project management responsibilities the Consortium would otherwise do. The following are applicable to projects the Consortium directly manages:
  - a. The Consortium or its contractor shall conduct pre-construction conferences with the original project applicants.
  - b. The Consortium or its contractor will provide day to day oversight of project activities, as appropriate.
  - c. For design projects, the Consortium or its contractor will conduct design reviews by civil, mechanical and electrical engineers, as appropriate. Commission staff shall be invited to all design review meetings. No changes to the prototypical design are authorized without written consent of the Denali Commission.
  - d. The Consortium or its contractor will perform site visits to all construction projects. The Consortium or its contractor will participate in community meetings for design, conceptual design, and regional planning meetings and inform the Denali Commission when meetings are scheduled.

### **D. COMMISSION ROLES AND RESPONSIBILITIES:**

1. The Commission is responsible for the management of the health facilities program which includes, but not limited to, eligibility determinations, prioritization, selection, funding and awards.
2. The Commission shall notify, in writing, each applicant approved in the conceptual planning project phase that is a project potentially utilizing the Consortium. This notification shall clearly identify the role of the Consortium in administering the Commission's sub-award agreement with the recipient. A copy of this letter shall be provided to the Consortium.

## **2008 Memorandum of Understanding Health Facility Infrastructure**

3. The Commission shall provide the Consortium with an NEPA/NHPA compliant Environmental Determination, based on information prepared by the Consortium or program recipient.
4. The Commission will provide current and relevant policies or regulations to the Consortium for incorporation by reference in CPAs.

### **E. THE PROJECT PROCESS:**

1. The Commission and Consortium, when requested by the Commission, work together during Conceptual Planning to assist award applicants in developing their project scope and analyzing prospective sites for project construction. This allows all parties to reach agreement on project scope and management methodology, identify funding sources, and resolve issues or problems that could delay project performance and develop a project construction timeline.
2. For projects the Consortium is directly managing, it will prepare a cost estimate detailing the total project award amount. For construction projects, the Consortium's estimate will include the total dollar amount shown in the 100% construction cost estimate with applicable adjustments such as inflation. For all projects, the Consortium's cost estimate will include a detailed project management budget for the activities more fully described in paragraph III C. Working with the Commission, the Consortium's cost estimate will identify the matching fund sources and amounts. The Commission and Consortium will work together to reach agreement on the project management budget and that the cost estimate is reasonable and all applicable costs are included. This document will provide the basis for the Commission's FAA.
3. The Commission will prepare a FAA, amendment, or addenda for approved projects. With prior approval from the Commission, the Commission shall allow costs incurred on projects prior to the effective date of the award formulation, to the extent that costs would otherwise be eligible, to be reimbursed once the award is executed and project funds made available.
4. The Consortium, upon acceptance of a FAA (or amendment/addendum to a FAA), will enter into a CPA with each successful project applicant. This agreement will:
  - a. Describe the project scope, budget, and funding sources, and reportable milestones,
  - b. Contain the IHS NEPA/NHPA compliance review (until the Commission has a compliance process in place),
  - c. Designate responsibility for project and financial management,
  - d. Require compliance with applicable state regulatory codes,
  - e. Require compliance with the Consortium's post-award grants monitoring procedures
  - f. Require compliance with the Commission's FAA requirements
5. During project execution, the Consortium will monitor financial expenditures and project progress on a quarterly basis.

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6. Upon project completion, the Consortium will monitor the warranty period (described further in this agreement). Upon completion of the warranty period, the Consortium will close the project file and maintain the award record for 3-years.

**F. CONSORTIUM SERVICES & FEES:**

1. The Commission will apply the rates illustrated in Table 1 to the FY08 FAA with the Consortium. These rates are estimates only. Quarterly, the Consortium will reconcile actual costs associated with the performance of the FAA.
2. The Consortium will inform the Commission at the earliest possible date of any unanticipated project cost overrun, including program management and project management fees, project schedule delays, changes in the project scope, or changed site conditions. This will allow both Consortium and the Commission to cooperatively resolve the problem and successfully complete the scope of work identified in the FAA. Any increase of funding to the FAA is based on availability of funding and Commission approval. The Consortium will not provide services beyond the available funding.
3. Upon completion of the project a final reconciliation of actual costs will occur. Remaining project funds will be immediately reported to the Commission.
4. Annually, Commission and Consortium representatives will review completed project costs to validate the rates reflected in Table 1.

<i><b>Consortium Services</b></i>	<i><b>Budgeted Expense</b></i>	<i><b>Basis of Budget</b></i>
Program Management Services as more fully described in Paragraph III B.	\$310,000 for FY08 FAA	\$10 million award in FY08 FAA. 1 FTEs <sup>1</sup> per year for 3 years which is understood to be the award period of the projects funded with FY08 funding.
Project Management Services as more fully described in Paragraph III C	Negotiated	See Paragraph III E 2. Consortium's cost estimate not to exceed 18% of the total cost estimate.

Table 1. Consortium Services & Fees

**G. WARRANTY AND BENEFICIAL USE:**

1. The Consortium shall monitor a warranty program covering all project work for one year after user occupancy of the facility.

<sup>1</sup> FTEs include Health Facilities Manager, Denali Commission Coordinator, Engineers, Management Info Specialist, Environmental Coordinator, Technical Writer, Accountant, Project Contracting Advisor and Admin Assistants.

## **2008 Memorandum of Understanding Health Facility Infrastructure**

2. The Consortium shall manage a warranty program for work performed by the Consortium. At the conclusion of the warranty period, the Consortium shall perform a final inspection to assure all warranty items have been resolved.
3. Warranty work for pass-through awards is the responsibility of the "pass through" recipient overseeing project performance.
4. Funds to provide warranty service will be included in the construction cost estimate and disbursed only for repairs validated by the project engineer as qualifying for warranty service. A warranty clause shall be included in all competitive bid projects and will be executed under the formal contract.
5. Unexpended funds remaining at the end of the warranty period shall be immediately reported to the Commission for reallocation to other projects.

### **H. REPORTING:**

1. Financial reporting encompasses project specific financial reporting via the Commission web based project reporting system and FAA specific financial reporting via the Standard Form 270.
2. Financial reporting is typically performed quarterly, unless modified by the Commission's program manager and articulated to the Consortium at least 14 days prior to the modified deadline. Reports will be provided within 30-days following the end of the quarter.
3. Progress reporting is typically performed quarterly (unless modified by the Commission's program manger) utilizing the Commission's web-based project reporting system. The Consortium will provide the following data for progress reports, 1) project narrative, including completion of Commission milestones, 2) project funding and expenditures, 3) project photos (if applicable), 4) a copy of the signed CPAs (as amended) if not previously posted, and 5) a statement on project progress.
4. In addition to quarterly reporting, the ANTHC shall meet twice annually to report to the Commission on lessons learned and to provide project status reports. These meetings shall include community participation.
5. The Commission will establish the base data record for all projects being reported through the web-based report system.
6. The Commission will identify, from the successful grant applicant, the source and amount of funds being provided to the project, so this information can be added to the base data record.
7. The Commission will obtain the Consortium's and project applicants single audit report through the federal clearinghouse.

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8. Both parties to this agreement will cooperate to ensure this reporting is accomplished through interface of their respective automated reporting systems.

**IV. DURATION OF AGREEMENT:**

This Agreement shall continue in force until such time that the Consortium or the Commission provides written notice of termination - one hundred and twenty days (120) in advance of termination date.

**V. DISPUTE RESOLUTION:**

Should disagreement arise on the interpretation of the provisions of this MOU, or as amended, that cannot be resolved; the area(s) of disagreement shall be stated in writing by each party and presented to the other party for consideration. If agreement on interpretation cannot be reached within thirty days from exchange of the written interpretation(s), the parties shall then move toward mediation with a neutral third party.

**VI. SIGNATURES:**

IN THE WITNESS WHEREOF, the parties have subscribed their names,

May 7, 2008  
Date

George J. Cannelos  
George J. Cannelos  
Federal Co-Chair  
Denali Commission

5/9/2008  
Date

Paul Sherry  
Paul Sherry  
Chief Executive Officer  
Alaska Native Tribal Health Consortium